| TRANSMITTAL LETTER TO THE UNITED: (DO/E CONCERNING A SUBMISS | | | | | | CUSTOMER NO. 22,852 ATTORNEY'S DOCKET NUMBER: 09812.0123 | | |
|--|-------------|-----------------|-------------|---|---|---|--|--|
| | | | | | | U.S. APPLICATION NO. if known, see 37 CFR 1.5(10/569,953 | | |
| | | TION/ 004/01 | | PLICATION NO. | INTERNATIONAL FILING DATE August 6, 2004 | PRIORITY DATE CLAIMED August 29, 2003, Priority No. 2003-308153 | | |
| | | INVE | | N is and its Method | | , | | |
| | | NT FC | |)/EO/US | | | | |
| Арр | licant | herew | ith su | bmits to the United States D | esignated/Elected Office (DO/EO/US) the follow | ing items and other information: | | |
| 1. | | | | | oncerning a filing under 35 U.S.C 371. | · | | |
| 2. | \boxtimes | This | is a S | SECOND or SUBSEQUENT | submission of items concerning a submission ur | nder 35 U.S.C. 371. | | |
| 3. | | This | is an | express request to begin na | tional examination procedures (35 U.S.C. 371(f) |). The submission must include items | | |
| 4. | | | | 9) and (21) indicated below. as been elected (Article 31). | | | | |
| 5. | ⊠ | | | | as filed (35 U.S.C. 371 (c)(2)). | | | |
| | | a. | | | d only if not communicated by the International E | Bureau). | | |
| | | b. | \boxtimes | has been communicated by | | , | | |
| | | C. | | | ication was filed with the United States Receivin | g Office (RO/US). | | |
| 6. | ⊠ | An E | Englist | n language translation of the | International Application as filed (35 U.S.C. 371 | (c)(2)). | | |
| | | a. | | is attached hereto. | | | | |
| | | b. | ⊠ | has been previously submi | tted under 35 U.S.C. 154(d)(4). | | | |
| 7. | \boxtimes | Ame | ndme | | national Application under PCT Article 19 (35 U. | S.C. 371 (c)(3)). | | |
| | | a. | | | ed only if not communicated by the International | | | |
| | | b. | | | by the International Bureau. | , | | |
| | | c. | | | ever, the time limit for making such amendments | has NOT expired. | | |
| | | d. | ⊠ | have not been made and w | | | | |
| 8. | | | nglish | | amendments to the claims under PCT Article 19 | 9 (35 U.S.C. 371 (c)(3)). | | |
| 9. | ⊠ | | | declaration of the inventor(s | | · (c) | | |
| 10. | | An E | nglish | language translation of the | annexes of the International Preliminary Examir | nation Report under PCT | | |
| | | Artic | le 36 (| (35 U.S.C. 371 (c)(5)). | , | | | |
| Item | ıs 11 t | to 20 t | elow | concern document(s) or in | nformation included: | | | |
| 11. | ⊠ | Infor | mation | n Disclosure Statement unde | er 37 CFR 1.97 and 1.98 | | | |
| 12. | | Апа | ssignr | ment document for recording | . A separate cover sheet in compliance with 37 | CFR 3.28 and 3.31 is included. | | |
| 13. | | A pre | elimina | ary amendment. | | | | |
| 14. | | An A | pplica | tion Data Sheet under 37 CF | FR 1.76. | | | |
| 15. | | A Su | bstitut | te specification. | | | | |
| 16. | | A po | wer of | attorney and/or change of a | ddress letter. | | | |
| 17. | | A co | mpute | r-readable form of the seque | ence listing in accordance with PCT Rule 13ter.2 | 2 and 35 U.S.C. 1.821-1.825. | | |
| 18. | | A sec | cond c | copy of the published Interna | tional Application under 35 U.S.C. 154 (d)(4). | | | |
| 19. | | A sec | cond c | copy of the English language | translation of the international application 35 U | .S.C. 154 (d)(4). | | |
| 20. | \boxtimes | Othe | r item: | s or information: | | | | |
| | | a. b. c. | | Copy of cover page of Inter Copy of Notification of Miss Form PTO/SB/O8 and one | | | | |

| U.S. APPLICATION NO. (if known 10/569,953 | | INTERNATIONAL APPLICAT PCT/JP2004/011633 | ION NO. | | NUMBER 09812.0123 | CKET |
|---|---|---|--|----------------|------------------------|-----------------|
| The following fees have | ve been submitted: | | | | CALCULATIONS | PTO Use Only |
| 21. BASIC NATIONAL FE | E (37 CFR 1.492(a)): | | \$300.00 | | \$0.00 | |
| | ISA/US or the International paims satisfy provisions of PCT | T Article 33(1)-(4) | \$0 | | \$0.00 | |
| Search fee (37 CFR 1.445(a)(2)) h International Searching Aut International Search Report prepa | 492(b)) 5 or the International prelimina s satisfy provisions of PCT A has been paid on the international hority red by an ISA other than the to the US by the IB | ary examination report preparticle 33(1)-(4)ional application to the USPT | red by | | \$0.00 | |
| | TOTAL of 21 | | *** | | \$0.00 | |
| electronic medium) (3 | with 37 CFR 1.821(c) or (e) or 67 CFR 1.492(j)). ach additional 50 sheets of pa | r computer program listing in aper or fraction thereof. | an | | 4 | t. |
| Total Sheets | Extra Sheets | Number of each additional thereof (round up to a who | | Rate | \$0.00 | İ |
| = | = | 0 | | x \$250.00 | \$0.00 | |
| Surcharge of \$130.00 for furnish of commencement of the nation | al stage (37 CFR 1.492(h)). | examination fee, or the oatl | or declaration afte | r the date | \$130.00 | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | | |
| Total Claims | 0 = | 0 | x \$50.0 | 0 | \$0.00 | |
| Independent Claims | 0 = | 1 | x \$200.0 | 00 | \$0.00 | |
| MULTIPLE DEPENDENT CLAIM(| S) (if applicable) | | +\$360.0 | 0 | \$ | |
| | | | AL OF ABOVE CALC | ULATIONS = | \$130.00 | |
| ☐ Applicant claims small entity st | atus. See 37 CFR 1.27. Fee | es above are reduced by 1/2. | | | \$ | |
| | | | | SUBTOTAL = | \$130.00 | |
| Processing fee of \$130.00 for furn 1.492(i)). | ishing the English translation | later than 30 months from th | e earliest priority date | e (37 CFR + | \$0.00 | |
| | | | TOTAL NATI | ONAL FEE = | \$130.00 | |
| Fee for recording the enclosed as: cover sheet (37 CFR 3.28, 3.31). 5 06 ATRAN1 00000149 1056 | \$40.00 per property. | The assignment must be acc | companied by an app | ropriate + | \$ | |
| 006 HIKHMI 00000149 1036 | 130.00-9P | | TOTAL FEES E | NCLOSED = | \$130.00 | |
| 71 | 100100 01 | | | | Amount to be refunded: | \$ |
| | | | | | charged: | \$ |
| | f \$130.00 to cover the above sit Account No. | | | to cover t | ne above fees. | |
| c. 🛛 The Commissioner is he | | ny additional fees which may sed. | be required, or credit | any overpaym | ent to Deposit Acco | unt |
| | to a credit card. WARNING: credit card information and au | Information on this form may thorization on PTO-2038. | become public. Cre | dit card infor | mation should not | be included |
| NOTE: Where an appropriate ti to restore the Internati | me limit under 37 CFR 1.49 onal Application to pending | | ion to revive (37 CF | R 1.137(a) or | (b)) must be filed a | nd granted |
| SEND ALL CORRESPONDENC | E TO: | /, \ | 1/ 1/ 1/ | | | |
| Finnegan, Henderson, Farabow, 0 901 New York Avenue, N.W. Washington, DC 20001-4413 | Sarrett & Dunner, L.L.P. | SIGNATUDavid W. | <u>V W. KUV</u> RE Hill, Reg. No. 28,220 | D | | |
| DATED: October 30, 2006 | | | NAME/REGISTRA | | ₹ | |



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE

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|--|-----------------------|------------------|---------------|--|
| U.S. APPLICATION NUMBER NO: | FIRST NAMED APPLICANT | ATT | Y. DOCKET NO. | |
| 10/569,953 | Kiyoaki Takiguchi | 0 | 98120123 | |
| | | INTERNATIONAL AP | PLICATION NO. | |
| 00050 | . * | РСТ/ЈР04/11633 | | |
| 22852 FINNEGAN, HENDERSON, FARABOW, (| CARRETT & DUNNER | I.A. FILING DATE | PRIORITY DATE | |
| IIP | SARRETT & DUNNER | 08/06/2004 | 08/29/2003 | |

KECEIVED 901 NEW YORK AVENUE, NW **WASHINGTON, DC 20001-4413**

SEP 0 5 2006

CONFIRMATION NO. 3086 371 FORMALITIES LETTER OC000000020242570*

Date Mailed: 08/31/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 02/28/2006
- English Translation of the IA filed on 02/28/2006
- Copy of the International Search Report filed on 02/28/2006
- Preliminary Amendments filed on 02/28/2006
- Information Disclosure Statements filed on 02/28/2006
- Copy of references cited in ISR filed on 02/28/2006
- U.S. Basic National Fees filed on 02/28/2006
- Priority Documents filed on 02/28/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

| Q1 | INANA | ADV | OF | FFFS | DUE: |
|----|---------|------------|----|-------------|---------|
| J. | JIVIIVI | ART | U | | 111111- |

| SUMMARY OF FEES DUE: | / . / . / |
|--|--------------------------------|
| Total additional fees required for this application is \$130 for a Large Entity: | Escheted 2-5-06 DWH/MRK/SRD/US |
| RTK | Case 98/2,0123 |
| SEP 0 6 2006 | Due Date |

Action

QW.11

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

MAMIE P PERSON

Telephone: (703) 308-9140 EXT 227

PART 1 - ATTORNEY/APPLICANT COPY

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|-----------------------------|-------------------------------|--|
| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY, DOCKET NO. |
| 10/569,953 | PCT/JP04/11633 | 098120123 |

FORM PCT/DO/EO/905 (371 Formalities Notice)